

Docket No. 217494US8/rm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE APPLICATION OF: Sadayoshi KANEMARU, et al.

SERIAL NO: 10/015,627

GAU: 2881

FILED: December 17, 2001

EXAMINER:

FOR: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF AND AMPLIFIER FOR OPTICAL FIBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

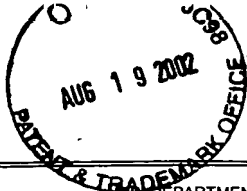
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.		SERIAL NO.	
				217494US8		10/015,627	
				APPLICANT			
LIST OF REFERENCES CITED BY APPLICANT				Sadayoshi KANEMARU, et al.			
				FILING DATE		GROUP	
				December 17, 2001		2881	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,228,670	05/08/2001	K. KUDO			
	AB	6,104,741	08/15/2000	K. IGARASHI, et al.			
	AC	5,533,152	07/02/96	D. KESSLER			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	0 952 470	10/27/99	EUROPE (submitting corr US 6,228,670 and JP 2000-12952 only)			
	AM	2000-12952	01/14/2000	JAPAN			X
	AN	7-193322	07/28/95	JAPAN (with English Abstract)			X
	AO	8-167756	06/25/96	JAPAN (with English Abstract)			X
	AP	0 867 991	03/26/98	EUROPE (submitting corr US 6,104,741 and JP 10-325941 only)			
	AQ	10-325941	12/08/98	JAPAN			X
	AR	8-338931	12/24/96	JAPAN (corr to US 5,533,152)	X		
	AS	60-76707	05/01/85	JAPAN (with English Abstract)			X
	AT	56-83701	07/08/81	JAPAN (with English Abstract)			X
	AU	57-129409	08/11/82	JAPAN (with English Abstract)			X
	AV	53-63846	05/30/78	JAPAN (with partial English translation)			X
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							